

Please select type of organisation:

Clinical Commissioning Group

Publishing Approval Reference: 000719

Core Standards	Total standards applicable	Fully compliant	Partially compliant	Non compliant
Governance	6	4	0	2
Duty to risk assess	2	2	0	0
Duty to maintain plans	9	9	0	0
Command and control	2	2	0	0
Training and exercising	3	2	1	0
Response	5	3	2	0
Warning and informing	3	3	0	0
Cooperation	4	4	0	0
Business Continuity	9	9	0	0
CBRN	0	0	0	0
Total	43	38	3	2

Deep Dive	Total standards applicable	Fully compliant	Partially compliant	Non compliant
Severe Weather response	14	14	1	0
Long Term adaptation planning	5	5	0	0
Total	19	19	1	0

Overall assessment:

Substantially compliant

Instructions:

- Step 1: Select the type of organisation from the drop-down at the top of this page
- Step 2: Complete the Self-Assessment RAG & remaining columns in the 'EPRR Core Standards' tab
- Step 3: Complete the Self-Assessment RAG & remaining columns in the 'Deep dive' tab
- Step 4: Ambulance providers only: Complete the Self-Assessment in the 'Interoperable capabilities' tab
- Step 5: Click the 'Produce Action Plan' button below

Ref	Domain	Standard	Detail	Clinical Commissioning Group	Evidence - examples listed below	Organisational Evidence	Self assessment RAG  Red (not compliant) = Not compliant with the core standard. The organisation's EPRR work programme shows compliance will not be reached within the next 12 months.  Amber (partially compliant) = Not compliant with core standard. However, the organisation's EPRR work programme demonstrates sufficient evidence of progress and an action plan to achieve full compliance within the next 12 months.  Green (fully compliant) = Fully compliant with core standard.	Action to be taken	Lead	Timescale	Comments
1	Governance	Senior Leadership	The organisation has appointed an Accountable Emergency Officer (AEO) responsible for Emergency Preparedness Resilience and Response (EPRR). This individual should be a board level director, and have the appropriate authority, resources and budget to direct the EPRR portfolio.  A non-executive board member, or suitable alternative, should be identified to support them in this role. The organisation has an overarching EPRR policy statement.  This should take into account the organisation's: • Business objectives and processes • Key suppliers and contractual arrangements • Risk assessment(s) • Functions and / or organisation, structural and staff changes.	Y	• Name and role of appointed individual   Evidence of an up to date EPRR policy statement that includes: • Resourcing commitment • Access to funds • Commitment to Emergency Planning, Business Continuity, Training, Exercising etc.	Director of Operations - Mike Hastings/ Non Executive Director - Les Trigg/ EPRR Lead - Tally Kalea   EPRR policy is being drafted, final version will need to be approved at board level	Fully compliant				
2	Governance	EPRR Policy Statement	The policy should: • Have a review schedule and version control • Use unambiguous terminology • Identify those responsible for ensuring policies and arrangements are updated, distributed and regularly tested • Include references to other sources of information and supporting documentation  The Chief Executive Officer / Clinical Commissioning Group Accountable Officer ensures that the Accountable Emergency Officer discharges their responsibilities to provide EPRR reports to the Board / Governing Body, no less frequently than annually.	Y	• Public Board meeting minutes • Evidence of presenting the results of the annual EPRR assurance process to the Public Board	EPRR updates are verbally given at Operations Meetings. Minutes and reports of Governing Body and Quality and Safety Committee are attached.	Non compliant	Completed draft to be reviewed by Sandwell & West Birmingham EPRR lead and further approval will be obtained from Governing Body	Mike Hastings/Tally Kalea	3 months	
3	Governance	EPRR board reports	These reports should be taken to a public board, and as a minimum, include an overview on: • training and exercises undertaken by the organisation • summary of any business continuity, critical incidents and major incidents experienced by the organisation • lessons identified from incidents and exercises • the organisation's compliance position in relation to the latest NHS England EPRR assurance process.  The organisation has an annual EPRR work programme, informed by: • lessons identified from incidents and exercises • identified risks • outcomes of any assurance and audit processes.	Y			Fully compliant				
4	Governance	EPRR work programme	The Board / Governing Body is satisfied that the organisation has sufficient and appropriate resource, proportionate to its size, to ensure it can fully discharge its EPRR duties.	Y	• Process explicitly described within the EPRR policy statement • Annual work plan	Work Programme attached	Fully compliant				
5	Governance	EPRR Resource		Y	• EPRR Policy identifies resources required to fulfil EPRR function; policy has been signed off by the organisation's Board • Assessment of role / resources • Role description of EPRR Staff • Organisation structure chart • Internal Governance process chart including EPRR group • Process explicitly described within the EPRR policy statement	Chart structure attached EPRR policy is being drafted, final version will need to be approved at board level.	Fully compliant				
6	Governance	Continuous improvement process	The organisation has clearly defined processes for capturing learning from incidents and exercises to inform the development of future EPRR arrangements.  The organisation has a process in place to regularly assess the risks to the population it serves. This process should consider community and national risk registers.	Y	• Evidence that EPRR risks are regularly considered and recorded • Evidence that EPRR risks are represented and recorded on the organisations corporate risk register	EPRR policy is being drafted, final version will need to be approved at board level	Non compliant	Completed draft to be reviewed by Sandwell & West Birmingham EPRR lead and further approval will be obtained from Governing Body	Mike Hastings/Tally Kalea	3 months	
7	Duty to risk assess	Risk assessment		Y	• Evidence that EPRR risks are regularly considered and recorded • Reference to EPRR risk management in the organisation's EPRR policy document	Risk team have risk assessment documents and process in place and will be used if necessary	Fully compliant				
8	Duty to risk assess	Risk Management	The organisation has a robust method of reporting, recording, monitoring and escalating EPRR risks.	Y	• EPRR risks are considered in the organisation's risk management policy • Reference to EPRR risk management in the organisation's EPRR policy document	Through Commissioning Operations Team escalated to board level where required	Fully compliant				
9	Duty to maintain plans	Collaborative planning	Plans have been developed in collaboration with partners and service providers to ensure the whole patient pathway is considered.	Y	Partners consulted with as part of the planning process are demonstrable in planning arrangements	collaborative group meeting with Partner trust and LA take place but not minuted. EU EXIT group has been formed and have met Quarterly	Fully compliant				
11	Duty to maintain plans	Critical incident	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to a critical incident (as defined within the EPRR Framework).	Y	Arrangements should be: • current • in line with current national guidance • in line with risk assessment • tested regularly • signed off by the appropriate mechanism • shared appropriately with those required to use them • outline any equipment requirements • outline any staff training required	MIRP	Fully compliant				
12	Duty to maintain plans	Major incident	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to a major incident (as defined within the EPRR Framework).	Y	Arrangements should be: • current • in line with current national guidance • in line with risk assessment • tested regularly • signed off by the appropriate mechanism • shared appropriately with those required to use them • outline any equipment requirements • outline any staff training required	MIRP	Fully compliant				
13	Duty to maintain plans	Heatwave	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to the impacts of heatwave on the population the organisation serves and its staff.	Y	Arrangements should be: • current • in line with current national guidance • in line with risk assessment • tested regularly • signed off by the appropriate mechanism • shared appropriately with those required to use them • outline any equipment requirements • outline any staff training required	Heatwave plan updated 2019	Fully compliant				
14	Duty to maintain plans	Cold weather	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to the impacts of snow and cold weather (not internal business continuity) on the population the organisation serves.	Y	Arrangements should be: • current • in line with current national guidance • in line with risk assessment • tested regularly • signed off by the appropriate mechanism • shared appropriately with those required to use them • outline any equipment requirements • outline any staff training required	Within Cold Weather Plan	Fully compliant				
15	Duty to maintain plans	Pandemic influenza	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to pandemic influenza.	Y	Arrangements should be: • current • in line with current national guidance • in line with risk assessment • tested regularly • signed off by the appropriate mechanism • shared appropriately with those required to use them • outline any equipment requirements • outline any staff training required	Previously attended working group in accordance with providers. Work closely with LA on flu planning. WCCG has its own Pan Flu Plan - to be updated in Q3 2019	Fully compliant				
16	Duty to maintain plans	Infectious disease	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to an infectious disease outbreak within the organisation or the community it serves, covering a range of diseases including High Consequence Infectious Diseases such as Viral Haemorrhagic Fever. These arrangements should be made in conjunction with Infection Control teams, including supply of adequate FFP3 and PPE trained individuals commensurate with the organisational risk.	Y	Arrangements should be: • current • in line with current national guidance • in line with risk assessment • tested regularly • signed off by the appropriate mechanism • shared appropriately with those required to use them • outline any equipment requirements • outline any staff training required	Previously attended working group in accordance with providers. Work closely with Trust and LA on infectious disease control	Fully compliant				

18	Duty to maintain plans	Mass Casualty	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to mass casualties. For an acute receiving hospital this should incorporate arrangements to free up 10% of their bed base in 6 hours and 20% in 12 hours, along with the requirement to double Level 3 ITU capacity for 96 hours (for those with level 3 ITU bed).	Y	<ul style="list-style-type: none"> <li>Arrangements should be: <ul style="list-style-type: none"> <li>current</li> <li>in line with current national guidance</li> <li>in line with risk assessment</li> <li>tested regularly</li> <li>signed off by the appropriate mechanism</li> <li>shared appropriately with those required to use them</li> <li>outline any equipment requirements</li> <li>outline any staff training required</li> </ul> </li> </ul>	MIRP		Fully compliant				
20	Duty to maintain plans	Shelter and evacuation	In line with current guidance and legislation, the organisation has effective arrangements in place to shelter and/or evacuate patients, staff and visitors. This should include arrangements to shelter and/or evacuate whole buildings or sites, working in conjunction with other site users where necessary.	Y	<ul style="list-style-type: none"> <li>Arrangements should be: <ul style="list-style-type: none"> <li>current</li> <li>in line with current national guidance</li> <li>in line with risk assessment</li> <li>tested regularly</li> <li>signed off by the appropriate mechanism</li> <li>shared appropriately with those required to use them</li> <li>outline any equipment requirements</li> <li>outline any staff training required</li> </ul> </li> </ul>	As part of COG BC plans		Fully compliant				
24	Command and control	On-call mechanism	A resilient and dedicated EPRR on-call mechanism is in place 24 / 7 to receive notifications relating to business continuity incidents, critical incidents and major incidents.  This should provide the facility to respond to or escalate notifications to an executive level.	Y	<ul style="list-style-type: none"> <li>Process explicitly described within the EPRR policy statement</li> <li>On call Standards and expectations are set out</li> <li>Include 24 hour arrangements for alerting managers and other key staff.</li> </ul>	On call rota is maintained with operations team		Fully compliant				
25	Command and control	Trained on-call staff	On-call staff are trained and competent to perform their role, and are in a position of delegated authority on behalf of the Chief Executive Officer / Clinical Commissioning Group Accountable Officer.  The identified individual: <ul style="list-style-type: none"> <li>Should be trained according to the NHS England EPRR competencies (National Occupational Standards)</li> <li>Can determine whether a critical, major or business continuity incident has occurred</li> <li>Has a specific process to adopt during the decision making</li> <li>Is aware who should be consulted and informed during decision making</li> <li>Should ensure appropriate records are maintained throughout.</li> </ul>	Y	<ul style="list-style-type: none"> <li>Process explicitly described within the EPRR policy statement</li> </ul>	No critical incidents have taken place. Director on-call refresher training is due in 2020, previously delivered by NHSE in 2017. COG in house and OOO rota attached within documents		Fully compliant				
26	Training and exercising	EPRR Training	The organisation carries out training in line with a training needs analysis to ensure staff are competent in their role; training records are kept to demonstrate this.	Y	<ul style="list-style-type: none"> <li>Process explicitly described within the EPRR policy statement</li> <li>Evidence of a training needs analysis</li> <li>Training records for all staff on call and those performing a role within the ICC</li> <li>Training materials</li> <li>Evidence of personal training and exercising portfolios for key staff</li> </ul>		TNA required for all staff- this will be followed up with a schedule of training for necessary staff	Partially compliant		Mike Hastings/Tally Kalea	12 month plan	support from NHSE colleagues may be required
27	Training and exercising	EPRR exercising and testing programme	The organisation has an exercising and testing programme to safely test major incident, critical incident and business continuity response arrangements.  Organisations should meet the following exercising and testing requirements: <ul style="list-style-type: none"> <li>a six-monthly communications test</li> <li>annual table top exercise</li> <li>live exercise at least once every three years</li> <li>command post exercise every three years.</li> </ul> The exercising programme must: <ul style="list-style-type: none"> <li>identify exercises relevant to local risks</li> <li>meet the needs of the organisation type and stakeholders</li> <li>ensure warning and informing arrangements are effective.</li> </ul> Lessons identified must be captured, recorded and acted upon as part of continuous improvement.	Y	<ul style="list-style-type: none"> <li>Exercising Schedule</li> <li>Evidence of post exercise reports and embedding learning</li> </ul>	Regional Comms exercise took place in July - COG was fully active in the process where required - schedule attached in submission folder		Fully compliant				
28	Training and exercising	Strategic and tactical responder training	Strategic and tactical responders must maintain a continuous personal development portfolio demonstrating training in accordance with the National Occupational Standards, and / or incident / exercise participation	Y	<ul style="list-style-type: none"> <li>Training records</li> <li>Evidence of personal training and exercising portfolios for key staff</li> </ul>	Key on call staff staff have had on call training (email attached in subm		Fully compliant				
30	Response	Incident Co-ordination Centre (ICC)	The organisation has a preidentified Incident Co-ordination Centre (ICC) and alternative fail-back location(s).  Both locations should be annually tested and exercised to ensure they are fit for purpose, and supported with documentation for its activation and operation	Y	<ul style="list-style-type: none"> <li>Documented processes for establishing an ICC</li> <li>Maps and diagrams</li> <li>A testing schedule</li> <li>A training schedule</li> <li>Pre identified roles and responsibilities, with action cards</li> <li>Demonstration ICC location is resilient to loss of utilities, including telecommunications, and external hazards</li> </ul>	Process attached. Testing and lessons learned are still required.	Testing of ICC is required and lessons learned need identifying and sharing	Partially compliant		Mike Hastings/Tally Kalea	12 month plan	
31	Response	Access to planning arrangements	Version controlled, hard copies of all response arrangements are available to relevant staff at all times. Staff should be aware of where they are stored and should be easily accessible.	Y	<ul style="list-style-type: none"> <li>Planning arrangements are easily accessible - both electronically and hard copies</li> </ul>	Via shared drive, hard copy in ICC		Fully compliant				
32	Response	Management of business continuity incidents	In line with current guidance and legislation, the organisation has effective arrangements in place to respond to a business continuity incident (as defined within the EPRR Framework).	Y	<ul style="list-style-type: none"> <li>Business Continuity Response plans</li> </ul>	BC plans have been updated early 2019		Fully compliant				
33	Response	Loggist	The organisation has 24 hour access to a trained loggist(s) to ensure decisions are recorded during business continuity incidents, critical incidents and major incidents. Key response staff are aware of the need for keeping their own personal records and logs to the required standards.	Y	<ul style="list-style-type: none"> <li>Documented processes for accessing and utilising loggists</li> <li>Training records</li> </ul>	documented in MIRP action cards in attached. Re-training is required for previous staff and for new staff who are interested. This will be addressed in the TNA as part of the work programme.		Fully compliant				
34	Response	Situation Reports	The organisation has processes in place for receiving, compiling, authorising and submitting situation reports (SIRepts) and briefings during the response to business continuity incidents, critical incidents and major incidents.	Y	<ul style="list-style-type: none"> <li>Documented processes for compiling, signing off and submitting SIRepts</li> <li>Evidence of testing and exercising</li> </ul>	documented in MIRP action cards in attached. No exercising has taken place therefore testing has not been completed		Partially compliant				
37	Warning and informing	Communication with partners and stakeholders	The organisation has arrangements to communicate with partners and stakeholder organisations during and after a major incident, critical incident or business continuity incident.	Y	<ul style="list-style-type: none"> <li>Have emergency communications response arrangements in place</li> <li>Social Media Policy specifying advice to staff on appropriate use of personal social media accounts whilst the organisation is in incident response</li> <li>Using lessons identified from previous major incidents to inform the development of future incident response communications</li> <li>Having a systematic process for tracking information flows and logging information requests and being able to deal with multiple requests for information as part of normal business processes</li> <li>Being able to demonstrate that publication of plans and assessments is part of a joined-up communications strategy and part of your organisation's warning and informing work</li> </ul>	Comms Policy - reviewed 2019		Fully compliant				
38	Warning and informing	Warning and informing	The organisation has processes for warning and informing the public (patients, visitors and wider population) and staff during major incidents, critical incidents or business continuity incidents.	Y	<ul style="list-style-type: none"> <li>Have emergency communications response arrangements in place</li> <li>Be able to demonstrate consideration of target audience when publishing materials (including staff, public and other agencies)</li> <li>Communicating with the public to encourage and empower the community to help themselves in an emergency in a way which complements the response of responders</li> <li>Using lessons identified from previous major incidents to inform the development of future incident response communications</li> <li>Setting up protocols with the media for warning and informing</li> </ul>	Comms Policy - reviewed 2019		Fully compliant				
39	Warning and informing	Media strategy	The organisation has a media strategy to enable rapid and structured communication with the public (patients, visitors and wider population) and staff. This includes identification of and access to a trained media spokesperson able to represent the organisation to the media at all times.	Y	<ul style="list-style-type: none"> <li>Have emergency communications response arrangements in place</li> <li>Using lessons identified from previous major incidents to inform the development of future incident response communications</li> <li>Setting up protocols with the media for warning and informing</li> <li>Having an agreed media strategy which identifies and trains key staff in dealing with the media including nominating spokespersons and 'talking heads'</li> </ul>	Comms Policy - reviewed 2019		Fully compliant				
40	Cooperation	LRHP attendance	The Accountable Emergency Officer, or an appropriate director, attends (no less than 75% annually) Local Health Resilience Partnerships (LRHP) meetings.	Y	<ul style="list-style-type: none"> <li>Minutes of meetings</li> </ul>	attached in submission		Fully compliant				
41	Cooperation	LRF / BRP attendance	The organisation participates in, contributes to or is adequately represented at Local Resilience Forum (LRF) or Borough Resilience Forum (BRF), demonstrating engagement and co-operation with partner responders.	Y	<ul style="list-style-type: none"> <li>Minutes of meetings</li> <li>Governance agreement if the organisation is represented</li> </ul>	attached in submission		Fully compliant				

42	Cooperation	Mutual aid arrangements	The organisation has agreed mutual aid arrangements in place outlining the process for requesting, coordinating and maintaining mutual aid resources. These arrangements may include staff, equipment, services and supplies.  These arrangements may be formal and should include the process for requesting Military Aid to Civil Authorities (MACA) via NHS England.	Y	<ul style="list-style-type: none"> <li>Detailed documentation on the process for requesting, receiving and managing mutual aid requests</li> <li>Signed mutual aid agreements where appropriate</li> </ul>	Agreement in place with Trust for mutual aid. Email agreement in place. Document in progress	Fully compliant					
46	Cooperation	Information sharing	The organisation has an agreed protocol(s) for sharing appropriate information with stakeholders, during major incidents, critical incidents or business continuity incidents.	Y	<ul style="list-style-type: none"> <li>Documented and signed information sharing protocol</li> <li>Evidence relevant guidance has been considered, e.g. Freedom of Information Act 2000, General Data Protection Regulation and the Civil Contingencies Act 2004 duty to communicate with the public.</li> </ul>	Contained within MIRP	Fully compliant					
47	Business Continuity	BC policy statement	The organisation has in place a policy which includes a statement of intent to undertake business continuity. This includes the commitment to a Business Continuity Management System (BCMS) in alignment to the ISO standard 22301.  The organisation has established the scope and objectives of the BCMS in relation to the organisation, specifying the risk management process and how this will be documented.	Y	<ul style="list-style-type: none"> <li>Demonstrable a statement of intent outlining that they will undertake BC - Policy Statement</li> </ul>	Business Continuity policy in place to be reviewed in Q4 2019	Fully compliant					
48	Business Continuity	BCMS scope and objectives		Y	<ul style="list-style-type: none"> <li>BCMS should detail: <ul style="list-style-type: none"> <li>Scope e.g. key products and services within the scope and exclusions from the scope</li> <li>Objectives of the system</li> <li>The requirement to undertake BC e.g. Statutory, Regulatory and contractual duties</li> <li>Specific roles within the BCMS including responsibilities, competencies and authorities.</li> <li>The risk management processes for the organisation i.e. how risk will be assessed and documented (e.g. Risk Register), the acceptable level of risk and risk review and monitoring process</li> <li>Resource requirements</li> <li>Communications strategy with all staff to ensure they are aware of their roles</li> <li>Stakeholders</li> </ul> </li> </ul>	Business continuity policy attached and Business continuity plans attached supports this	Fully compliant					
49	Business Continuity	Business Impact Assessment	The organisation annually assesses and documents the impact of disruption to its services through Business Impact Analysis(s).	Y	<ul style="list-style-type: none"> <li>Documented process on how BIA will be conducted, including: <ul style="list-style-type: none"> <li>the method to be used</li> <li>the frequency of review</li> <li>how the information will be used to inform planning</li> <li>how RA is used to support.</li> </ul> </li> <li>Statement of compliance</li> </ul>	Contained within BC Plans	Fully compliant					
50	Business Continuity	Data Protection and Security Toolkit	Organisation's Information Technology department certify that they are compliant with the Data Protection and Security Toolkit on an annual basis.	Y		Held electronically on shared CCG Drive	Fully compliant					
51	Business Continuity	Business Continuity Plans	<ul style="list-style-type: none"> <li>The organisation has established business continuity plans for the management of incidents. Detailing how it will respond, recover and manage its services during disruptions to: <ul style="list-style-type: none"> <li>people</li> <li>information and data</li> <li>premises</li> <li>suppliers and contractors</li> <li>IT and infrastructure</li> </ul> </li> <li>These plans will be reviewed regularly (at a minimum annually), or following organisational change, or incidents and exercises.</li> </ul>	Y	<ul style="list-style-type: none"> <li>Documented evidence that as a minimum the BCP checklist is covered by the various plans of the organisation</li> </ul>	Contained within BC plans	Fully compliant					
52	Business Continuity	BCMS monitoring and evaluation	The organisation's BCMS is monitored, measured and evaluated against established Key Performance Indicators. Reports on these and the outcome of any exercises, and status of any corrective action are annually reported to the board.	Y	<ul style="list-style-type: none"> <li>EPRR policy document or stand alone Business continuity policy</li> <li>Board papers</li> </ul>	Contained within BC policy and BC Plans attached	Fully compliant					
53	Business Continuity	BC audit	The organisation has a process for internal audit, and outcomes are included in the report to the board.	Y	<ul style="list-style-type: none"> <li>EPRR policy document or stand alone Business continuity policy</li> <li>Board papers</li> <li>Audit reports</li> </ul>	Contained within BC policy and BC Plans	Fully compliant					
54	Business Continuity	BCMS continuous improvement process	There is a process in place to assess the effectiveness of the BCMS and take corrective action to ensure continual improvement to the BCMS.	Y	<ul style="list-style-type: none"> <li>EPRR policy document or stand alone Business continuity policy</li> <li>Board papers</li> <li>Action plans</li> </ul>	Contained within BC policy and BC Plans	Fully compliant					
55	Business Continuity	Assurance of commissioned provider / suppliers BCPs	The organisation has in place a system to assess the business continuity plans of commissioned providers or suppliers, and are assured that these providers business continuity arrangements work with their own.	Y	<ul style="list-style-type: none"> <li>EPRR policy document or stand alone Business continuity policy</li> <li>Provider/supplier assurance framework</li> <li>Provider/supplier business continuity arrangements</li> </ul>	Contained within BC policy and BC Plans	Fully compliant					

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Deep Dive - Severe Weather Domain: Severe Weather Response											
1	Severe Weather response	Overheating	The organisation's heatwave plan allows for the identification and monitoring of inpatient and staff areas that overheat (For community and MH inpatient area may include patients own home, or nursing/care home facility)	Y	The monitoring processes is explicitly identified in the organisational heatwave plan. This includes staff areas as well as inpatient areas. This process clearly identifies relevant temperature triggers and subsequent actions.	Contained within Heatwave plan	Fully compliant				
2	Severe Weather response	Overheating	The organisation has contingency arrangements in place to reduce temperatures (for example MOUs or SLAs for cooling units) and provide welfare support to inpatients and staff in high risk areas (For community and MH inpatient area may include patients own home, or nursing/care home facility)	Y	Arrangements are in place to ensure that areas that have been identified as overheating can be cooled to within reasonable temperature ranges, this may include use of cooling units or other methods identified in national heatwave plan.	Cooling units and relaxed office attire in place	Fully compliant				
3	Severe Weather response	Staffing	The organisation has plans to ensure staff can attend work during a period of severe weather (snow, flooding or heatwave), and has suitable arrangements should transport fail and staff need to remain on sites. (Includes provision of 4x4 where needed)	Y	The organisations arrangements outline: - What staff should do if they cannot attend work - Arrangements to maintain services, including how staff may be brought to site during disruption - Arrangements for placing staff into accommodation should they be unable to return home	Management aware of advice/guidance to offer to staff. Business continuity arrangements made if necessary	Fully compliant				
4	Severe Weather response	Service provision	Organisations providing services in the community have arrangements to allow for caseloads to be clinically prioritised and alternative support delivered during periods of severe weather disruption. (This includes midwifery in the community, mental health services, district nursing etc)		The organisations arrangements identify how staff will prioritise patients during periods of severe weather, and alternative delivery methods to ensure continued patient care	CCG communicates with Providers to ensure patient care is supported during any disruption	Fully compliant				
5	Severe Weather response	Discharge	The organisation has policies or processes in place to ensure that any vulnerable patients (including community, mental health, and maternity services) are discharged to a warm home or are referred to a local single point-of-contact health and housing referral system if appropriate, in line with the NICE Guidelines on Excess Winter Deaths	Y	The organisations arrangements include how to deal with discharges or transfers of care into non health settings. Organisation can demonstrate information sharing regarding vulnerability to cold or heat with other supporting agencies at discharge	CCG communicates with Providers to ensure patient care is supported during any disruption	Fully compliant				
6	Severe Weather response	Access	The organisation has arrangements in place to ensure site access is maintained during periods of snow or cold weather, including gritting and clearance plans activated by predefined triggers	Y	The organisation arrangements have a clear trigger for the pre-emptive placement of grit on key roadways and pavements within the organisations boundaries. When snow / ice occurs there are clear triggers and actions to clear priority roadways and pavements. Arrangements may include the use of a third party gritting or snow clearance service.	Tennancy agreement	Fully compliant				
7	Severe Weather response	Assessment	The organisation has arrangements to assess the impact of National Severe Weather Warnings (including Met Office Cold and Heatwave Alerts, Daily Air Quality Index and Flood Forecasting Centre alerts) and takes predefined action to mitigate the impact of these where necessary	Y	The organisations arrangements are clear in how it will assesses all weather warnings. These arrangements should identify the role(s) responsible for undertaking these assessments and the predefined triggers and action as a result.	Weather warnings are received and considered within the Operations team and information is cascaded to staff where necessary	Fully compliant				
8	Severe Weather response	Flood prevention	The organisation has planned preventative maintenance programmes are in place to ensure that on site drainage is clear to reduce flooding risk from surface water, this programme takes into account seasonal variations.	Y	The organisation has clearly demonstrable Planned Preventative Maintenance programmes for its assets. Where third party owns the drainage system there is a clear mechanism to alert the responsible owner to ensure drainage is cleared and managed in a timely manner	in line with LA arrangements	Fully compliant				
9	Severe Weather response	Flood response	The organisation is aware of, and where applicable contributed to, the Local Resilience Forum Multi-Agency Flood Plan. The organisation understands its role in this plan.	Y	The organisation has reference to its role and responsibilities in the Multi-Agency Flood Plan in its arrangements. Key on-call/response staff are clear how to obtain a copy of the Multi-Agency Flood Plan	in line with LA arrangements	Fully compliant				
10	Severe Weather response	Warning and information	The organisation's communications arrangements include working with the LRF and multi-agency partners to warn and inform, before and during, periods of Severe Weather, including the use of any national messaging for Heat and Cold.	Y	The organisation has within its arrangements documented roles for its communications teams in the event of Severe Weather alerts and or response. This includes the ability for the organisation to issue appropriate messaging 24/7. Communications plans are clear in what the organisations will issue in terms of severe weather and when.	Comms in place via Email and visual screen messages VIA 'Jayex' Solution	Fully compliant				

11	Severe Weather response	Flood response	The organisation has plans in place for any preidentified areas of their site(s) at risk of flooding. These plans include response to flooding and evacuation as required.	Y	The organisation has evidence that it regularly risk assesses its sites against flood risk (pluvial, fluvial and coastal flooding). It has clear site specific arrangements for flood response, for known key high risk areas. On-site flood plans are in place for at risk areas of the organisations site(s).	in line with LA arrangements	Fully compliant				
12	Severe Weather response	Risk assess	The organisation has identified which severe weather events are likely to impact on its patients, services and staff, and takes account of these in emergency plans and business continuity arrangements.	Y	The organisation has documented the severe weather risks on its risk register and has appropriate plans to address these.	Risks recorded within Risk teams logs	Fully compliant				
13	Severe Weather response	Supply chain	The organisation is assured that its suppliers can maintain services during periods of severe weather, and periods of disruption caused by these.	Y	The organisation has a documented process of seeking risk based assurance from suppliers that services can be maintained during extreme weather events. Where these services can't be maintain the organisation has alternative documented mitigating arrangements in place.	Verbal agreement in place	Partially compliant	Verbal agreeement in place - documentation needs to be completed and approved	Mike Hastings/Tally Kalea	6-9 months	
14	Severe Weather response	Exercising	The organisation has exercised its arrangements (against a reasonable worst case scenario), or used them in an actual severe weather incident response, and they were effective in managing the risks they were exposed to. From these event lessons were identified and have been incorporated into revised arrangements.	Y	The organisation can demonstrate that its arrangements have been tested in the past 12 months and learning has resulted in changes to its response arrangements.	CCG works with Wolverhampton Science Park to ensure building and occupants are aware of testing procedures and that lessons learned are shared	Fully compliant				
15	Severe Weather response	ICT BC	The organisations ICT Services have been thoroughly exercised and equipment tested which allows for remote access and remote services are able to provide resilience in extreme weather e.g. are cooling systems sized appropriately to cope with heatwave conditions, is the data centre positioned away from areas of flood risk.	Y	The organisations arrangements includes the robust testing of access services and remote services to ensure the total number of concurrent users meets the number that may work remotely to maintain identified critical services	CCG works with Wolverhampton Science Park to ensure building and occupants are aware of testing procedures and that lessons learned are shared	Fully compliant				
Domain: long term adaptation planning											
16	Long term adaptation planning	Risk assess	Are all relevant organisations risks highlighted in the Climate Change Risk Assessment are incorporated into the organisations risk register.	Y	Evidence that the there is an entry in the organisations risk register detailing climate change risk and any mitigating actions		Fully compliant				
17	Long term adaptation planning	Overheating risk	The organisation has identified and recorded those parts of their buildings that regularly overheat (exceed 27 degrees Celsius) on their risk register. The register identifies the long term mitigation required to address this taking into account the sustainable development commitments in the long term plan. Such as avoiding mechanical cooling and use of cooling higherachy.	Y	The organisation has records that identifies areas exceeding 27 degrees and risk register entries for these areas with action to reduce risk	In line with tennancy agreement and regular meetings with landlord	Fully compliant				
18	Long term adaptation planning	Building adaptations	The organisation has in place an adaptation plan which includes necessary modifications to buildings and infrastructure to maintain normal business during extreme temperatures or other extreme weather events.	Y	The organisation has an adaptation plan that includes suggested building modifications or infrastructure changes in future	In line with tennancy agreement and regular meetings with landlord	Fully compliant				
19	Long term adaptation planning	Flooding	The organisations adaptation plans include modifications to reduce their buildings and estates impact on the surrounding environment for example Sustainable Urban Drainage Systems to reduce flood risks.	Y	Areas are identified in the organisations adaptation plans that might benefit drainage surfaces, or evidence that new hard standing areas considered for SUDS	in line with LA arrangements	Fully compliant				
20	Long term adaptation planning	New build	The organisation considers for all its new facilities relevant adaptation requirements for long term climate change	Y	The organisation has relevant documentation that it is including adaptation plans for all new builds	In line with tennancy agreement and regular meetings with landlord	Fully compliant				

Ref	Domain	Standard	Detail	Evidence - examples listed below	Organisation Evidence	Self assessment RAG  Red (not compliant) = Not compliant with the core standard. The organisation's EPRR work programme shows compliance will not be reached within the next 12 months.  Amber (partially compliant) = Not compliant with core standard. However, the organisation's EPRR work programme demonstrates sufficient evidence of progress and an action plan to achieve full compliance within the next 12 months.  Green (fully compliant) = Fully compliant with core standard.	Action to be taken	Lead	Timescale	Comments
2	Governance	EPRR Policy Statement	<p>The organisation has an overarching EPRR policy statement.</p> <p>This should take into account the organisation's:</p> <ul style="list-style-type: none"> <li>• Business objectives and processes</li> <li>• Key suppliers and contractual arrangements</li> <li>• Risk assessment(s)</li> <li>• Functions and / or organisation, structural and staff changes.</li> </ul> <p>The policy should:</p> <ul style="list-style-type: none"> <li>• Have a review schedule and version control</li> <li>• Use unambiguous terminology</li> <li>• Identify those responsible for ensuring policies and arrangements are updated, distributed and</li> </ul>	<p>Evidence of an up to date EPRR policy statement that includes:</p> <ul style="list-style-type: none"> <li>• Resourcing commitment</li> <li>• Access to funds</li> <li>• Commitment to Emergency Planning, Business Continuity, Training, Exercising etc.</li> </ul>	EPRR policy is being drafted, final version will need to be approved at board level	Partially compliant	Completed draft to be reviewed by Sandwell & West Birmingham EPRR lead and further approval will be obtained from Governing Body	Mike Hastings/Tally Kalea	3 months	
6	Governance	Continuous improvement process	<p>The organisation has clearly defined processes for capturing learning from incidents and exercises to inform the development of future EPRR arrangements.</p>	<ul style="list-style-type: none"> <li>• Process explicitly described within the EPRR policy statement</li> </ul>	EPRR policy is being drafted, final version will need to be approved at board level	Partially compliant				
26	Training and exercises	EPRR Training	<p>The organisation carries out training in line with a training needs analysis to ensure staff are competent in their role; training records are kept to demonstrate this.</p>	<ul style="list-style-type: none"> <li>• Process explicitly described within the EPRR policy statement</li> <li>• Evidence of a training needs analysis</li> <li>• Training records for all staff on call and those performing a role within the ICC</li> <li>• Training materials</li> <li>• Evidence of personal training and exercising portfolios for key staff</li> </ul>		Partially compliant	TNA required for all staff- this will be followed up with a schedule of training for necessary staff	Mike Hastings/Tally Kalea	12 month plan	support from NHSE colleagues may be required
30	Response	Incident Co-ordination Centre (ICC)	<p>The organisation has a preidentified Incident Co-ordination Centre (ICC) and alternative fall-back location(s).</p> <p>Both locations should be annually tested and exercised to ensure they are fit for purpose, and supported with documentation for its activation and operation.</p>	<ul style="list-style-type: none"> <li>• Documented processes for establishing an ICC</li> <li>• Maps and diagrams</li> <li>• A testing schedule</li> <li>• A training schedule</li> <li>• Pre identified roles and responsibilities, with action cards</li> <li>• Demonstration ICC location is resilient to loss of utilities, including telecommunications, and external hazards</li> </ul>	Process attached. Testing and lessons learned are still required.	Partially compliant	Testing of ICC is required and lessons learned need identifying and sharing	Mike Hastings/Tally Kalea	12 month plan	

34	Response	Situation Reports	<p>The organisation has processes in place for receiving, completing, authorising and submitting situation reports (SitReps) and briefings during the response to business continuity incidents, critical incidents and major incidents.</p>	<ul style="list-style-type: none"> <li>• Documented processes for completing, signing off and submitting SitReps</li> <li>• Evidence of testing and exercising</li> </ul>	documented in MIRP action cards in attached. No exercising has taken place therefore testing has not been completed	Non compliant			
13	Severe Weather response	Supply chain	<p>The organisation is assured that its suppliers can maintain services during periods of severe weather, and periods of disruption caused by these.</p>	<p>The organisation has a documented process of seeking risk based assurance from suppliers that services can be maintained during extreme weather events. Where these services can't be maintain the organisation has alternative documented mitigating arrangements in place.</p>	Verbal agreement in place	Partially compliant	<p>Verbal agreemeent in place - documentation needs to be completed and approved</p>	Mike Hastings/Tally Kalea	6-9 months